UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA

Case No: 3:18-cr-00577-CRB-1

Case Name: <u>USAv. Michael Richard Lynch</u> USA v. Stephen Keith Chamberlain

TRIAL SHEET, EXHIBIT and WITNESS LIST

| JUDGE: | PLAINTIFF ATTORNEY: | DEFENSE ATTORNEY: |
|-------------------|----------------------------------|--------------------------|
| Charles R. Breyer | Adam Reeves, Kristina Green, | Gary Lincenberg, Michael |
| - | Robert Leach, Zacahry Abrahamson | Landman, Ray Seilie |
| | - | (Chamberlain); |
| | | |
| | | Christopher J. Morvillo, |
| | | Celeste Koeleveld, |
| | | Brian Heberlig, |
| | | Reid Weingarten |
| | | (Lynch) |
| | | |
| TRIAL DATE: | REPORTER(S): | CLERK: |
| March 21, 2024 | Rhonda Aquilina | Lashanda Scott |
| | Jennifer Coulthard | |

| PLF | DEF | DATE/TIME | | | | |
|-------|------|-----------|----|-----|--|----|
| NO. | NO. | OFFERED | ID | REC | DESCRIPTION | BY |
| | | 9:15 a.m. | | | Judge cried-in. Court Reporter-Jennifer | |
| | | | | | Coulthard | |
| | | | | | All jurors present. All parties present. | |
| | | 9:15 a.m. | | | Defense (Chamberlain) cross-examination of | |
| | | | | | witness | |
| | | | | | Ganesh Vaidyanathan | |
| | 9667 | | X | X | Exhibit 9667 marked and admitted | |
| | | 9:16 a.m. | | | Government re-direct of witness | |
| | | | | | Ganesh Vaidyanathan | |
| 630 | | | X | X | Exhibit 630 marked and admitted | |
| | | | | | Portions of Autonomy's website | |
| 291 | | | X | X | Exhibit 291 marked and admitted | |
| | | | | | Q3 2009 Autonomy Corporation plc Earnings Conference | |
| 11447 | | | X | X | Call Transcript and Presentation Exhibit 11447 marked and admitted | |
| 1144/ | | | Λ | Λ | Presentation. Description: Autonomy Q3 2009 Results | |
| | | | | | Presentation | |
| 3317 | | | X | X | Exhibit 3317 marked and admitted | |
| | | | | | Email from WangR to SullivanM/ | |
| | | | | | DesrochesR/ByronS/TuckerJ/SullivanJ re "Re: Software purchased" | |
| | | 9:41 a.m. | | | Defense (Lynch) re-cross examination of witness | |
| | | | | | Ganesh Vaidyanathan | |
| | 3317 | | X | | Exhibit 3317 previously admitted | |
| | 3318 | | X | | Exhibit 3318 previously admitted | |

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| | 11447 | | X | | Exhibit 11447 previously admitted | |
|-------|-------|------------|---|---|---|--|
| | 630 | | X | | Exhibit 630 previously admitted | |
| | | 9:50 a.m. | | | Defense (Chamberlain) re-cross examination of | |
| | | | | | witness | |
| | | | | | Ganesh Vaidyanathan | |
| | | 9:51 a.m. | | | Witness excused | |
| | | 9:52 a.m. | | | Government witness sworn | |
| | | | | 1 | John Baiocco | |
| 32 | | | X | X | Exhibit 32 marked and admitted License and Distribution Agreement btwn Capax and Autonomy | |
| 2559 | | | X | X | Exhibit 2559 marked and admitted Zantaz, Inc. Invoices | |
| 100 | | | X | X | Exhibit 100 marked and admitted Autonomy Value Added Reseller Agreement entered into between Autonomy and Capax Discovery LLC. Signed by Andrew Kanter and John Baiocco | |
| 17143 | | | X | X | Exhibit 17143 marked and admitted | |
| 4895 | | | X | X | Exhibit 4895 marked and admitted Purchase Order Exhibit "B" from Autonomy Value Added Reseller Agreement | |
| 388 | | | X | X | Exhibit 388 marked and admitted Letter from Joel Scott to John Baiocco re Revised Payment Arrangement | |
| 439 | | | X | X | Exhibit 439 marked and admitted Email from Parveena Vishwa to Chris Chu attaching invoice re Eli Lilly deal | |
| | | 10:28 a.m. | | | Court in recess | |
| | | 10:46 a.m. | | | Court reconvened. All jurors present. All parties present. Government direct-examination of witness John Baiocco | |
| 633 | | | X | X | Exhibit 633 marked and admitted Email from John Baiocco to Dave Spadone re financial details on Eli Lilly deal | |
| 6150 | | | X | X | Exhibit 6150 marked and admitted Email chain between BaioccoJ, DSpadone@apbuffalo, S Egan re Message; body suggests collection calls | |
| 1102 | | | X | X | Exhibit 1102 marked and admitted Referral agreement for Eli Lilly, wire transfer, and invoice | |
| 2559 | | | X | | Exhibit 2559 previously admitted | |
| 2746 | | | X | X | Exhibit 2746 marked and admitted Capax TXU, Q2 2009 | |
| 427 | | | X | X | Exhibit 427 marked and admitted First Amendment to License and Distribution Agreement between Autonomy, Inc. and Capax Discovery LLC, dated December 31, 2009 | |
| 622 | | | X | X | Exhibit 622 marked and admitted Email from John Baiocco to Andrew Kanter ("promised more than a dollar for dollar on this") attaching Capax Aging Report | |
| 690 | | | X | X | Exhibit 690 marked and admitted Purchase Order from Capax Discovery, LLC | |

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| 726 | X | X | Exhibit 726 marked and admitted | |
|---------|-----|----------|--|--|
| , 20 | | 1 | Letter to John Baiocco from Steve Chamberlain, dated | |
| | | | April 8, 2010 regarding verification of specific invoices | |
| | | | payable to permit completion of quarterly review | |
| 1096 | X | X | Exhibit 1096 marked and admitted | |
| | | | Email from SalazarJ to ScottJ attaching 2010-09-30 – | |
| 1125 | X | X | Capax Purchase Order (EU – Amazon) [executed].pdf Exhibit 1125 marked and admitted | |
| 1123 | A | Λ | Email from John Baiocco to Stouffer Egan attaching | |
| | | | amended Capax Discovery invoice for \$450,000 re EAS | |
| | | | Support Plan | |
| 17024 | X | X | Exhibit 17024 marked and admitted | |
| | | | Email from BaioccoJ to Chamberlain re "FSA" | |
| 1232 | X | X | Exhibit 1232 marked and admitted | |
| | | | Email from John Baiocco to Glenn Fong re payment terms | |
| 17025 | TV. | 37 | and commissions with Autonomy | |
| 17025 | X | X | Exhibit 17025 marked and admitted | |
| | | | Email from BaioccoJ to ChaberlainS re "RE: [SPAM] FSAL" | |
| 1250 | X | X | Exhibit 1250 marked and admitted | |
| 1230 | | 2.1 | Email from John Baiocco to Helen Ku re Capax Payment | |
| | | | Status | |
| 1374 | X | X | Exhibit 1374 marked and admitted | |
| | | | Fax of purchase order from Capax for the US Department | |
| 1 4 7 1 | 37 | 37 | of the Army | |
| 1451 | X | X | Exhibit 1451 marked and admitted | |
| | | | Email from BaioccoJ to ChamberlainS re "RE: [SPAM] FSA update" | |
| 1487 | X | X | Exhibit 1487 marked and admitted | |
| 1107 | 11 | | Email from Stouffer Egan to John Baiocco explaining | |
| | | | replacement of Amgen deal with BofA purchase | |
| 1096 | X | X | Exhibit 1096 previously admitted | |
| 1580 | X | X | Exhibit 1580 marked and admitted | |
| | | | Email from Steve Truitt to John Baiocco attaching | |
| | | | purchase order for BOA deal | |
| 1563 | X | X | Exhibit 1563 marked and admitted | |
| | | | Email from BaioccoJ to ChamberlainS re "RE: [SPAM] | |
| 1605 | X | X | FSA" Exhibit 1605 marked and admitted | |
| 1003 | Λ | Λ | Email from Jorge Salazar to John Baiocco attaching letter | |
| | | | agreement canceling Amgen p.o. and replacing with BOA | |
| 1634 | X | X | Exhibit 1634 marked and admitted | |
| | | | Email from Dave Spadone to Stephen Chamberlain | |
| | | <u> </u> | attaching \$945k invoice for Amgen/BofA deal | |
| 1657 | X | X | Exhibit 1657 marked and admitted | |
| | | | Email from BaioccoJ to ChamberlainS re "RE: [SPAM] FSA" | |
| 1686 | X | X | Exhibit 1686 marked and admitted | |
| 1000 | | Λ | E-mail from Joel Scott to John Baiocco, dated March 31, | |
| | | | 2011, regarding "Capax PO Drafts" | |
| 1691 | X | X | Exhibit 1691 marked and admitted | |
| | | | Purchase Order between Autonomy, Inc. and Capax | |
| .= | | | Discovery, LLC, dated March 31 2011 | |
| 17144 | X | X | Exhibit 17144 marked and admitted | |
| 17145 | X | X | Exhibit 17145 marked and admitted | |
| | | | | |
| | | | | |

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| 1721 | | | *7 | 37 | E 115 1721 1 1 1 5 1 | |
|---------------|------|------------|----|----|---|--|
| 1731 | | | X | X | Exhibit 1731 marked and admitted Letter to John Bajocco from Steve Chamberlain dated | |
| | | | | | April 6, 2011 regarding verification of specific invoices | |
| | | | | | payable to permit the completion of annual audit | |
| 1739 | | | X | X | Exhibit 1739 marked and admitted | |
| 1750 | | | X | X | Email from Baiocco e-mail to Lucini re "Re: Cambridge" Exhibit 1750 marked and admitted | |
| 1/30 | | | Λ | A | Exhibit 1/30 marked and admitted Email from Baiocco to Hussain re "Fwd: second | |
| | | | | | amendment to license" | |
| 1907 | | | X | X | Exhibit 1907 marked and admitted | |
| | | | | | Email from John Baiocco attaching draft purchase order for UBS deal from Joel Scott email | |
| 1962 | | | X | X | Exhibit 1962 marked and admitted | |
| 1702 | | | 11 | 1 | Email from John Baiocco to Joel Scott attaching corrected | |
| | | | | | purchase order for Capax/UBS | |
| 2216 | | | X | X | Exhibit 2216 marked and admitted | |
| | | | | | Commercial Software License Agreement entered into between Autonomy and Capax Global LLC | |
| 2347 | | | X | X | Exhibit 2347 marked and admitted | |
| , | | | | | Marketing Assistance Fee Agreement letter entered into | |
| | | | | | between Autonomy and Capax Discovery | |
| 2354 | | | X | X | Exhibit 2354 marked and admitted | |
| | | | | | Email from Joel Scott to John Baiocco attaching three draft Marketing Assistant Fee agreement letters | |
| 2363 | | | X | X | Exhibit 2363 marked and admitted | |
| 1 | | | | | Revised Payment Arrangement between Autonomy and | |
| | | 10.10 | | | Capax Discovery LLC for \$4.5m | |
| | | 12:12 p.m. | | | Court in recess | |
| | | 1:23 p.m. | | | Court reconvened. | |
| | | | | | Court Reporter – Rhonda Aquilina | |
| ı | | | | | All jurors present. All parties present. | |
| | | | | | Government direct-examination of witness | |
| 2227 | | | V | V | John Baiocco | |
| 2237 | | | X | X | Exhibit 2237 marked and admitted Email from John Baiocco to Dave Spadone and Charlie | |
| | | | | | Chiampou attaching invoices and aging report related to | |
| | | | | | settlement of credit notes for UBS deal | |
| | | 1:33 p.m. | | | Defense (Lynch) cross-examination of witness | |
| | 45.0 | | | | John Baiocco | |
| | 428 | | X | | Exhibit 428 previously admitted | |
| _ | 7319 | | X | X | Exhibit 7319 marked and admitted | |
| | | | | | Email from BaioccoJ to SassR, re: "RE: Capax EDD Software" | |
| | 7322 | | X | X | Exhibit 7322 | |
| | 7220 | | v | v | Email from SassR to BaioccoJ, re: "RE: Autonomy proposal" | |
| | 7320 | | X | X | Exhibit 7320 marked and admitted | |
| | 32 | | X | | Exhibit 32 previously admitted | |
| | 5518 | | X | X | Exhibit 5518 marked and admitted | |
| | | | | | Email from CoxB to RimmerT FW: Capax Sales literature | |
| | | 2:25 p.m. | | | Court in recess | |
| | | 2:41 p.m. | | | Court reconvened. All jurors present. All parties | |
| | | 1 | | | present. | |
| | | | | | Defense (Lynch) cross-examination of witness | |
| | | | | | John Baiocco | |

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| 7304 | | X | X | Exhibit 7304 marked and admitted Email from SassR to BaioccoJ, re: "RE: End of Q2 deals" |
|----------|-----------|---|---|--|
| 100 | | X | | Exhibit 100 previously admitted |
| 231 | | X | X | Exhibit 231 marked and admitted First Amendment to Autonomy, Inc. Value Added Reseller Agreement entered into between Autonomy, Capax Discovery LLC and three other Capax entities. Signed by Andrew Kanter and John Baiocco |
| 1630 | | X | X | Exhibit 1630 marked and admitted Fifth Amendment to Autonomy, Inc. Value Added Reseller Agreement entered into between Autonomy and several Capax entities |
| 5407 | | X | X | Exhibit 5407 marked and admitted Email from J. Baiocco to KanterA RE: Autonomy Project Hours |
| 2216 | | X | | Exhibit 2216 previously admitted |
| | 3:19 p.m. | | | Defense (Chamberlain) cross-examination of witness John Baiocco |
| 2559 | | X | | Exhibit 2559 previously admitted |
| 1445 | | X | X | Exhibit 1445 marked and admitted Letter to John Baiocco from Steve Chamberlain dated January 14, 2011 regarding verification of specific invoices payable to permit the completion of annual audit |
| 949 | | X | X | Exhibit 949 marked and admitted Email from BaioccoJ to MurrayT re "Autonomy Debtor Conifrmation request" (capax audit confirm) |
| 2758 | | X | X | Exhibit 2758 marked and admitted Email from EganS to BaioccoJ, cc to SullivanM re "RE: Update" |
| 20004.16 | | X | | Exhibit 20004.16 marked |
| 16652 | | X | X | Exhibit 16652 marked and admitted Email from BaioccoJ to HussainS FW: Autonomy Invoices |
| 9800 | | X | X | Exhibit 9800 marked and admitted Email from J. Baiocco to R. Sass re: RE: can u call me? |
| 16652 | | X | | Exhibit 16652 previously admitted |
| 9674 | | X | X | Exhibit 9674 marked and admitted Email from J. Baiocco to R. Sass re: FW: Autonomy invoices |
| 9675 | | X | X | Exhibit 9675 marked and admitted Email from J. Baiocco to S. Egan re: RE: Message |
| 9676 | | X | X | Exhibit 9676 marked and admitted Email from J. Baiocco to S. Egan re: Fw: [SPAM] |
| 20004.19 | | X | | Exhibit 20004.19 marked |
| 9677 | | X | X | Exhibit 9677 marked and admitted |
| 9678 | | X | X | Exhibit 9678 marked and admitted |
| 9679 | | X | X | Exhibit 9679 marked and admitted |
| | 3:57 p.m. | | | The Court addressed the jurors. On April 22 nd court will end at 1:00 p.m. On April 25, 2025 is dark. |

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| 4:00 p.m. | Court instructs the jurors. Jurors excused until 3/25. | |
|-----------|--|--|
| 4:05 p.m. | Court adjourned until March 25, 2024 at 9:15 a.m. | |